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|--|------------------------------|------------------------|---------------------|------------------------|
| <b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b><br>(Under 37 CFR 1.97(b) or 1.97(c))  |                              |                        | Docket No.<br>13754 |                        |
| In Re Application Of: Tooru OGINO  |                              |                        |                     |                        |
| Serial No.<br>09/606,761   | Filing Date<br>June 29, 2000 | Examiner<br>Unassigned |                     | Group Art Unit<br>2731 |
| Title: <b>DIVERSITY CDMA RECEPTION APPARATUS HAVING ONLY<br/>ONE CDMA RECEIVING PART</b>   |                              |                        |                     |                        |
| <p>Address to:<br/>Commissioner for Patents<br/>P.O. Box 1450<br/>Alexandria, VA 22313-1450</p> <p><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p>OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> <div><p><b>RECEIVED</b><br/>JUN 25 2003<br/>Technology Center 2600</p></div> |                              |                        |                     |                        |

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
13754

In Re Application: Tooru OGINO

Serial No.  
09/606,761

Filing Date  
June 29, 2000

Examiner  
Unassigned

Group Art Unit  
2731

**DIVERSITY CDMA RECEPTION APPARATUS HAVING ONLY  
ONE CDMA RECEIVING PART**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Tooru OGINO **Examiner:** Unassigned  
**Serial No.:** 09/606,761 **Art Unit:** 2731  
**Filed:** June 29, 2000 **Docket:** 13754  
**For:** DIVERSITY CDMA RECEPTION APPARATUS  
HAVING ONLY ONE CDMA RECEIVING PART **Dated:** June 20, 2003

Commissioner for Patents  
P. O. Box 1450  
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**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.


1. Japanese Laid-Open Patent Publication No. 56-168440, dated December 24, 1981.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Dated: June 20, 2003

  
\_\_\_\_\_  
Paul J. Esatto, Jr.

The reference was cited in a Japanese Office Action dated March 25, 2003 received from the Japanese Patent Office. Applicant is submitting a copy of the above-cited reference, together with a translation of the Examiner's comments regarding the reference from the Official Action. The relevance of the reference is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the reference is cited. The relevance to the pending U.S. patent application is that the reference is cited in a foreign patent application on the same subject matter. However, no independent analysis of the reference, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Consideration of this Information Disclosure Statement is respectfully requested, since the art provided may be material to the examination of the present application as defined in C.F.R. §1.56.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



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